



STATE OF MAINE
DEPARTMENT OF ENVIRONMENTAL PROTECTION



PAUL R. LEPAGE
GOVERNOR

PAUL MERCER
COMMISSIONER

April 19, 2016

Mr. Rich Meyer (CEHNC-EMC)
Huntsville Engineering and Support Center U.S. Army Corps of Engineers
1616 Capitol Avenue
Omaha, NE 68102-4901
402-697-2586

Re: Maine DSMOA CA 16-18

Dear Mr. Meyer:

The Maine Department of Environmental Protection (DEP) is pleased to submit the attached pdf version of the DSMOA CA 16-18 application for the State of Maine. The application is to cover DEP expenses between from July 1, 2016 to June 30, 2018 for oversight of investigation and remediation by the U.S. Department of Defense (DoD) at specified DoD Sites. As required, the application includes:

1. This transmittal Letter
2. SF 424 (including the State's most recent Tax ID and DUNS numbers)
 - o SF 424 Location Attachment
3. SF 424 A
4. 424A DSMOA Supporting Budget Breakdown
5. SF 424 B
6. State Step 3 Budget Summary
 - o Explanation of adjustments due to Navy IR cut in approved amount
7. State Step 3 Budget Detail
8. State Budget Breakdown - Totals Step 3
9. State Budget Out-years Summary - Step 3
10. State Pending Attachment A
11. Certifications (Lobbying, Disbarment/Suspension & Drug Free)
12. Certificate of (Signature) Authority
13. Financial POC Signature Card Form
14. State Indirect Rate
15. State Audit

This application was completed following the 6-step process established for the DSMOA/CA that included the development of required Joint Execution Plans and subsequent budgets reflecting anticipated work outlined in the JEPs.

If you have any concerns or questions concerning this application, please contact me at (207) 446-4366 or by email at david.w.wright@maine.gov.

Sincerely,

David W. Wright, Director
Division of Remediation
Bureau of Remediation and Waste Management

AUGUSTA
17 STATE HOUSE STATION
AUGUSTA, MAINE 04333-0017
(207) 287-7688 FAX: (207) 287-7826

BANGOR
106 HOGAN ROAD, SUITE 6
BANGOR, MAINE 04401
(207) 941-4570 FAX: (207) 941-4584

PORTLAND
312 CANCO ROAD
PORTLAND, MAINE 04103
(207) 822-6300 FAX: (207) 822-6303

PRESQUE ISLE
1235 CENTRAL DRIVE, SKYWAY PARK
PRESQUE ISLE, MAINE 04769
(207) 764-0477 FAX: (207) 760-3143

Application for Federal Assistance SF-424

*** 1. Type of Submission:**

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

*** 2. Type of Application:**

- ☒ New
☐ Continuation
☐ Revision

*** If Revision, select appropriate letter(s):**

*** Other (Specify):**

*** 3. Date Received:**

4. Applicant Identifier:

ME

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

NA

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

*** a. Legal Name:**

State of Maine

*** b. Employer/Taxpayer Identification Number (EIN/TIN):**

01-6000001

*** c. Organizational DUNS:**

8090455860000

d. Address:

*** Street1:**

17 State House Station

Street2:

*** City:**

Augusta

County/Parish:

Kennebec

*** State:**

ME: Maine

Province:

*** Country:**

USA: UNITED STATES

*** Zip / Postal Code:**

04333-0017

e. Organizational Unit:

Department Name:

Environmental Protection

Division Name:

Remediation

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Mr.

*** First Name:**

David

Middle Name:

*** Last Name:**

Wright

Suffix:

Title:

Director, Division of Remediation

Organizational Affiliation:

Maine Department of Environmental Protection

*** Telephone Number:**

(207) 446-4366

Fax Number:

(207) 287-7826

*** Email:**

david.w.wright@maine.gov

Application for Federal Assistance SF-424

* 9. Type of Applicant 1: Select Applicant Type:

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

U. S. Army Corps of Engineers

11. Catalog of Federal Domestic Assistance Number:

12.113

CFDA Title:

State Memorandum of Agreement Program for the Reimbursement of Technical Services

* 12. Funding Opportunity Number:

NA

* Title:

NA

13. Competition Identification Number:

NA

Title:

NA

14. Areas Affected by Project (Cities, Counties, States, etc.):

2b-SF-424-Location-Attachment.pdf

Add Attachment

Delete Attachment

View Attachment

* 15. Descriptive Title of Applicant's Project:

State oversight of Dept. of Defense investigation and remediation of select sites as described in the Defense State Memorandum of Agreement (DSMOA).

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424**16. Congressional Districts Of:*** a. Applicant * b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:* a. Start Date: * b. End Date: **18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="1,466,497.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="1,466,497.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- ☐ a. This application was made available to the State under the Executive Order 12372 Process for review on .
- ☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- ☒ c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes ☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:

* Last Name:

Suffix:

* Title: * Telephone Number: Fax Number: * Email:

* Signature of Authorized Representative:



* Date Signed:

SF 424 Attachment DSMOA locations CA16-18
Air National Guard Base, BANGOR
Air National Guard Base, SOUTH PORTLAND
Former Air Force Radar Tracking Station, MACHIASPORT, ME
Former Air Force Station, CASWELL
Former DEFENSE FUEL facilities, HARPSWELL
Former DOW Military Base, Bangor
Former Loring Air Force Base Communications Annex, Limestone
Former Loring Air Force Base Laundry Annex, Presque Isle
Former Loring Air Force Base, LIMESTONE
Former Loring NIKE 58 (COMMUNICATIONS SITE), CARIBOU
Former Loring NIKE LO-13 Site, Caswell
Former Naval Air Station, BRUNSWICK and the former ANNEX , TOPSHAM
NAVAL COMMUNICATIONS UNIT, CUTLER
PORTSMOUTH NAVAL SHIPYARD, KITTERY
STATEWIDE MANAGEMENT
TOWN OFFICE, Glenburn

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 0348-0044

SECTION A - BUDGET SUMMARY						
Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		\$	\$	\$	\$	\$
2.						
3.						
4.						
5. Totals		\$	\$	\$	\$	\$

SECTION B - BUDGET CATEGORIES					
6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)		
a. Personnel	\$	\$	\$	\$	\$
b. Fringe Benefits					
c. Travel					
d. Equipment					
e. Supplies					
f. Contractual					
g. Construction					
h. Other					
i. Total Direct Charges (sum of 6a-6h)					
j. Indirect Charges					
k. TOTALS (sum of 6i and 6j)	\$	\$	\$	\$	\$

7. Program Income	\$	\$	\$	\$	\$
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SECTION C - NON-FEDERAL RESOURCES

(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8.	\$	\$	\$	\$
9.				
10.				
11.				
12. TOTAL <i>(sum of lines 8-11)</i>	\$	\$	\$	\$

SECTION D - FORECASTED CASH NEEDS

	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$	\$	\$	\$	\$
14. Non-Federal					
15. TOTAL <i>(sum of lines 13 and 14)</i>	\$	\$	\$	\$	\$

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT

(a) Grant Program	FUTURE FUNDING PERIODS (Years)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16.	\$	\$	\$	\$
17.				
18.				
19.				
20. TOTAL <i>(sum of lines 16-19)</i>	\$	\$	\$	\$

SECTION F - OTHER BUDGET INFORMATION

21. Direct Charges:	22. Indirect Charges:
23. Remarks:	

INSTRUCTIONS FOR THE SF-424A

Public reporting burden for this collection of information is estimated to average 180 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0044), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

General Instructions

This form is designed so that application can be made for funds from one or more grant programs. In preparing the budget, adhere to any existing Federal grantor agency guidelines which prescribe how and whether budgeted amounts should be separately shown for different functions or activities within the program. For some programs, grantor agencies may require budgets to be separately shown by function or activity. For other programs, grantor agencies may require a breakdown by function or activity. Sections A, B, C, and D should include budget estimates for the whole project except when applying for assistance which requires Federal authorization in annual or other funding period increments. In the latter case, Sections A, B, C, and D should provide the budget for the first budget period (usually a year) and Section E should present the need for Federal assistance in the subsequent budget periods. All applications should contain a breakdown by the object class categories shown in Lines a-k of Section B.

Section A. Budget Summary Lines 1-4 Columns (a) and (b)

For applications pertaining to a *single* Federal grant program (Federal Domestic Assistance Catalog number) and *not requiring* a functional or activity breakdown, enter on Line 1 under Column (a) the Catalog program title and the Catalog number in Column (b).

For applications pertaining to a *single* program *requiring* budget amounts by multiple functions or activities, enter the name of each activity or function on each line in Column (a), and enter the Catalog number in Column (b). For applications pertaining to multiple programs where none of the programs require a breakdown by function or activity, enter the Catalog program title on each line in *Column* (a) and the respective Catalog number on each line in Column (b).

For applications pertaining to *multiple* programs where one or more programs *require* a breakdown by function or activity, prepare a separate sheet for each program requiring the breakdown. Additional sheets should be used when one form does not provide adequate space for all breakdown of data required. However, when more than one sheet is used, the first page should provide the summary totals by programs.

Lines 1-4, Columns (c) through (g)

For new applications, leave Column (c) and (d) blank. For each line entry in Columns (a) and (b), enter in Columns (e), (f), and (g) the appropriate amounts of funds needed to support the project for the first funding period (usually a year).

For continuing grant program applications, submit these forms before the end of each funding period as required by the grantor agency. Enter in Columns (c) and (d) the estimated amounts of funds which will remain unobligated at the end of the grant funding period only if the Federal grantor agency instructions provide for this. Otherwise, leave these columns blank. Enter in columns (e) and (f) the amounts of funds needed for the upcoming period. The amount(s) in Column (g) should be the sum of amounts in Columns (e) and (f).

For supplemental grants and changes to existing grants, do not use Columns (c) and (d). Enter in Column (e) the amount of the increase or decrease of Federal funds and enter in Column (f) the amount of the increase or decrease of non-Federal funds. In Column (g) enter the new total budgeted amount (Federal and non-Federal) which includes the total previous authorized budgeted amounts plus or minus, as appropriate, the amounts shown in Columns (e) and (f). The amount(s) in Column (g) should not equal the sum of amounts in Columns (e) and (f).

Line 5 - Show the totals for all columns used.

Section B Budget Categories

In the column headings (1) through (4), enter the titles of the same programs, functions, and activities shown on Lines 1-4, Column (a), Section A. When additional sheets are prepared for Section A, provide similar column headings on each sheet. For each program, function or activity, fill in the total requirements for funds (both Federal and non-Federal) by object class categories.

Line 6a-i - Show the totals of Lines 6a to 6h in each column.

Line 6j - Show the amount of indirect cost.

Line 6k - Enter the total of amounts on Lines 6i and 6j. For all applications for new grants and continuation grants the total amount in column (5), Line 6k, should be the same as the total amount shown in Section A, Column (g), Line 5. For supplemental grants and changes to grants, the total amount of the increase or decrease as shown in Columns (1)-(4), Line 6k should be the same as the sum of the amounts in Section A, Columns (e) and (f) on Line 5.

Line 7 - Enter the estimated amount of income, if any, expected to be generated from this project. Do not add or subtract this amount from the total project amount, Show under the program

INSTRUCTIONS FOR THE SF-424A (continued)

narrative statement the nature and source of income. The estimated amount of program income may be considered by the Federal grantor agency in determining the total amount of the grant.

Section C. Non-Federal Resources

Lines 8-11 Enter amounts of non-Federal resources that will be used on the grant. If in-kind contributions are included, provide a brief explanation on a separate sheet.

Column (a) - Enter the program titles identical to Column (a), Section A. A breakdown by function or activity is not necessary.

Column (b) - Enter the contribution to be made by the applicant.

Column (c) - Enter the amount of the State's cash and in-kind contribution if the applicant is not a State or State agency. Applicants which are a State or State agencies should leave this column blank.

Column (d) - Enter the amount of cash and in-kind contributions to be made from all other sources.

Column (e) - Enter totals of Columns (b), (c), and (d).

Line 12 - Enter the total for each of Columns (b)-(e). The amount in Column (e) should be equal to the amount on Line 5, Column (f), Section A.

Section D. Forecasted Cash Needs

Line 13 - Enter the amount of cash needed by quarter from the grantor agency during the first year.

Line 14 - Enter the amount of cash from all other sources needed by quarter during the first year.

Line 15 - Enter the totals of amounts on Lines 13 and 14.

Section E. Budget Estimates of Federal Funds Needed for Balance of the Project

Lines 16-19 - Enter in Column (a) the same grant program titles shown in Column (a), Section A. A breakdown by function or activity is not necessary. For new applications and continuation grant applications, enter in the proper columns amounts of Federal funds which will be needed to complete the program or project over the succeeding funding periods (usually in years). This section need not be completed for revisions (amendments, changes, or supplements) to funds for the current year of existing grants.

If more than four lines are needed to list the program titles, submit additional schedules as necessary.

Line 20 - Enter the total for each of the Columns (b)-(e). When additional schedules are prepared for this Section, annotate accordingly and show the overall totals on this line.

Section F. Other Budget Information

Line 21 - Use this space to explain amounts for individual direct object class cost categories that may appear to be out of the ordinary or to explain the details as required by the Federal grantor agency.

Line 22 - Enter the type of indirect rate (provisional, predetermined, final or fixed) that will be in effect during the funding period, the estimated amount of the base to which the rate is applied, and the total indirect expense.

Line 23 - Provide any other explanations or comments deemed necessary.

DSMOA Supporting Application Breakdown Maine - CA '16 - '18

DoD Component	Funding	State	Year 1 Total	Year 2 Total	State Application Total
AIR FORCE	BRAC LEGACY	ME	174913	178413	353,326
AIR FORCE	IR	ME	70168	70993	141,161
DESC	IR	ME	14970	2912	17,882
FUDS	IR	ME	127518	121802	249,320
FUDS	MAP	ME	3051	3083	6,134
NAVY	BRAC LEGACY	ME	214717	217730	432,447
NAVY	IR	ME	132023	134204	266,227
Totals					1,466,497

ASSURANCES - NON-CONSTRUCTION PROGRAMS

OMB Approval No. 4040-0007
Expiration Date: 06/30/2014

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.


**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET.
SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 		TITLE Commissioner	
APPLICANT ORGANIZATION Maine Department of Environmental Protection		DATE SUBMITTED April 11, 2016	

State Step 3 Budget Summary Maine - CA '16 - '18

Component/Funding Type	Year 1	Year 2	CA Total	Component Approval
AIR FORCE BRAC LEGACY	\$ 174,913	\$ 178,413	\$ 353,326	\$ 353,326
AIR FORCE IR	\$ 70,168	\$ 70,993	\$ 141,161	\$ 141,161
DESC IR	\$ 14,970	\$ 2,912	\$ 17,882	\$ 17,882
FUDS IR	\$ 127,518	\$ 121,802	\$ 249,320	\$ 249,320
FUDS MAP	\$ 3,051	\$ 3,083	\$ 6,134	\$ 6,134
NAVY BRAC LEGACY	\$ 214,717	\$ 217,730	\$ 432,447	\$ 432,447
NAVY IR	\$ 190,439	\$ 193,584	\$ 384,023	\$ 266,227
Total	\$ 795,776	\$ 788,517	\$ 1,584,293	\$ 1,466,497

From: [Wright, David W](#)
To: ["Sadorra, Robert A CIV NAVFAC HQ, EV"](#)
Cc: ["Meyer, Richard J HNC@ NWO"](#); [Swain, Chris](#); [Jean, Rosalyn R](#)
Subject: RE: Maine DSMOA CA16-18 funding cut
Date: Thursday, April 07, 2016 2:38:00 PM

Rob, I now understand the logic that Navy used in Step 4 for approving a lower amount of Navy IR funds for Maine DEP oversight than the amount Maine DEP had estimated that we would need in Step 3. I also note that you've retained a robust JEP, particularly for Cutler, which we hope that the Navy will be able to complete during the next grant cycle and which was an important part of the basis for our Step 3 estimate. I'm hesitant to propose a budget at the lower amount because we do not want lack of resources for timely DEP reviews to be the hold-up in moving Maine's Navy IR sites forward. However, in that you have committed to continuing to provide necessary adjustment throughout the CA cycle, we'll apply for a lesser amount. DEP is also committed to exploring and employing cost saving approaches that will still achieve the JEP tasks while continuing to protect public health and the environment at the Navy IR sites.

-----Original Message-----

From: Sadorra, Robert A CIV NAVFAC HQ, EV [<mailto:robert.sadorra@navy.mil>]
Sent: Wednesday, April 06, 2016 5:05 PM
To: Wright, David W
Cc: Swain, Chris
Subject: RE: Maine DSMOA CA16-18 funding cut

David,

Thanks for reaching out with your questions. One of the key things that we look at in evaluating the DSMOA budget requests is a comparison of our work from the previous CA cycle to the new. Compared to CA 14-16, our planned project execution drops by about \$3.2M (\$8.5 to \$5.3). Our projected DSMOA costs from the previous CA is approximately \$250K and the budget request for the new CA was close to a 50% increase. So together with anticipated reduction in project execution, this was the basis for the decision to reduce the DSMOA budget, but as always, we will consider any necessary adjustments throughout the CA cycle. I hope for your understanding, and I'm happy to discuss further if needed. Thanks

VR

Rob

Rob Sadorra, PE
Director, Environmental Restoration Division
Naval Facilities Engineering Command
1322 Patterson Ave. Washington, DC 20374
202.685.9306

Visit the NAVFAC Environmental Restoration and BRAC Website
<http://www.navfac.navy.mil/go/erb>

-----Original Message-----

From: Wright, David W [<mailto:David.W.Wright@maine.gov>]
Sent: Tuesday, April 05, 2016 9:34 AM
To: Sadorra, Robert A CIV NAVFAC HQ, EV
Cc: Swain, Chris
Subject: [Non-DoD Source] FW: Maine DSMOA CA16-18 funding cut

Rob, I hope all is going well with you. I was just putting together our DSMOA CA16-18 application and noticed that you had cut our Navy IR request by 30% for Maine DEP oversight of remedial efforts at the Cutler NavCom and Portsmouth Shipyard here in Maine over the next two years. What is the basis for this reduction? If it would be easier to discuss by phone, give me a call - you're likely to catch me at my desk this week.

David Wright, Director
Division of Remediation, BRWM
Maine Department of Environmental Protection
17 SHS, Augusta, Maine 04333-0017
207-446-4366
David.W.Wright@maine.gov

-----Original Message-----

From: Meyer, Richard J HNC@ NWO [<mailto:Richard.J.Meyer@usace.army.mil>]

Sent: Tuesday, March 29, 2016 2:02 PM

To: Feathers, Kenneth; Salahuddin, Qazi (DNREC); Sweeney, James (DDOE); Smith, Clarence; OSBORN, REX; Wright, David W; James Carroll -MDE-; Malewicz, Anne (DEP); Delaney, Robert (DEQ); Biglow, Crague (MPCA); Hilton, Scott; Burke, Justin; Hacker, Claudia; Gottlieb, Richard (DEM); Wuestenberg, Tami; Nicholas, Bob (DEQ); Bradsher, Esther (DEQ); Armstead, Charles W; Klein, Duane H - DNR
Subject: DSMOA CA16-18 Step 5 Begins! (UNCLASSIFIED)

CLASSIFICATION: UNCLASSIFIED

State POCs:

It's time to submit your State's CA16-18 Application!

Step 5 began yesterday, and will run through April 25, 2016.

Instructions for the CA16-18 CA Application submission are located on the Step 5 Page.

The DSMOA Team has also prepared two new CA16-18 Step 5 Video Tutorials to walk you through the process. The Step 5 Tutorials are available on the "HELP" tab.

We want to draw you attention to three items regarding the Signature Authority Form:

- *If your current State's Signature Authority Form is dated March 2016 or later, you may submit that form in your application. This applies to all States who recently updated their Signature Authority Form in March.

- *The Signature Authority Form has been revised by DSMOA legal to remove "Principal Legal Officer" requirement. You now only need to have an individual who is employed as an attorney for the State to sign the form.

- *The Grants Officer and DSMOA legal will NOT accept any other form AND will only accept forms from a State legal officer - NO EXCEPTIONS.

If you have any questions regarding the Step 5 Application submittal, please contact a DSMOA State Manager.

We are standing by to assist you!

Your DSMOA Team

Thanks,
Rich

Richard J. Meyer, Ph.D. (CEHNC-EMC)

DSMOA Support Team
Environmental and Munitions CX
Huntsville Engineering and Support Center U.S. Army Corps of Engineers
1616 Capitol Avenue
Omaha, NE 68102-4901
402-697-2586

Please let us know how we are meeting your requirements by conducting the brief survey located at the link below:

https://ice.disa.mil/index.cfm?fa=card&sp=135322&s=1202&dep=*DoD&sc=32

Thank you!

CLASSIFICATION: UNCLASSIFIED

State Step 3 Budget Detail

Maine - CA '16 - '18

Installation	Year 1	Year 2	CA Total
Component/Funding Type: AIR FORCE BRAC LEGACY			
DIRECT PROGRAM SUPPORT JEP (AIR FORCE: ME)	\$ 22,814	\$ 23,271	\$ 46,085
LORING AFB, LIMESTONE (013 06A 1584 15)	\$ 152,099	\$ 155,142	\$ 307,241
Subtotal:	\$ 174,913	\$ 178,413	\$ 353,326
Component/Funding Type: AIR FORCE IR			
BANGOR ANGB (101 ARW)	\$ 15,254	\$ 15,414	\$ 30,668
DIRECT PROGRAM SUPPORT JEP (AIR FORCE: ME)	\$ 9,152	\$ 9,335	\$ 18,487
SOUTH PORTLAND ANGB (265 CCS)	\$ 45,762	\$ 46,244	\$ 92,006
Subtotal:	\$ 70,168	\$ 70,993	\$ 141,161
Component/Funding Type: DESC IR			
DEFENSE FUEL SUPPORT HARPSWELL (013 06A 1589 15)	\$ 13,016	\$ 2,532	\$ 15,548
DIRECT PROGRAM SUPPORT JEP (DESC: ME)	\$ 1,954	\$ 380	\$ 2,334
Subtotal:	\$ 14,970	\$ 2,912	\$ 17,882
Component/Funding Type: FUDS IR			
AF GAT, GLENBURN TOWN OFFICE (D01ME0566) (013 06A 1588 15)	\$ 9,725	\$ 2,709	\$ 12,434
AF RAD TRAC STA, MACHIASPORT (D01ME0486) (013 06A 1588 15)	\$ 17,472	\$ 17,492	\$ 34,964
CASWELL AFS Z-80 (D01ME0111)	\$ 14,532	\$ 14,823	\$ 29,355
DIRECT PROGRAM SUPPORT JEP (FUDS: ME)	\$ 17,031	\$ 17,372	\$ 34,403
DOW MIL AF (D01ME0004) (013 06A 1588 15)	\$ 11,938	\$ 12,060	\$ 23,998
FUDS FORUM	\$ 3,051	\$ 3,083	\$ 6,134
LOR AFB LAU AX (D01ME0132) (013 06A 1588 15)	\$ 8,007	\$ 8,021	\$ 16,028
LORING AFB COMMO AX #2 (D01ME0128) (013 06A 1588 15)	\$ 15,254	\$ 15,414	\$ 30,668
NIKE 58 (D01ME0077) (013 06A 1588 15)	\$ 15,254	\$ 15,414	\$ 30,668
NIKE LO-13 (D01ME0028) (013 06A 1588 15)	\$ 15,254	\$ 15,414	\$ 30,668
Subtotal:	\$ 127,518	\$ 121,802	\$ 249,320
Component/Funding Type: FUDS MAP			
STATEWIDE MANAGEMENT ACTION PLAN - MAPS	\$ 3,051	\$ 3,083	\$ 6,134
Subtotal:	\$ 3,051	\$ 3,083	\$ 6,134
Component/Funding Type: NAVY BRAC LEGACY			
BRUNSWICK NAS, INCLUDING TOPSHAM ANNEX (013 06A 1583 15)	\$ 186,710	\$ 189,330	\$ 376,040
DIRECT PROGRAM SUPPORT JEP (NAVY: ME)	\$ 28,007	\$ 28,400	\$ 56,407
Subtotal:	\$ 214,717	\$ 217,730	\$ 432,447
Component/Funding Type: NAVY IR			
DIRECT PROGRAM SUPPORT JEP (NAVY: ME)	\$ 24,840	\$ 25,249	\$ 50,089
NAVAL COMMUNICATIONS UNIT CUTLER (013 06A 1586 15)	\$ 95,078	\$ 96,629	\$ 191,707
PORTSMOUTH NAVAL SHIPYARD KITTEERY (013 06A 1587 15)	\$ 70,521	\$ 71,706	\$ 142,227
Subtotal:	\$ 190,439	\$ 193,584	\$ 384,023
Total	\$ 795,776	\$ 788,517	\$ 1,584,293

State Budget Breakdown - Totals Step 3

Maine - CA '16 - '18

Budget Category	Year 1	Year 2	CA Total
Direct Labor	\$ 377,825	\$ 374,743	\$ 752,568
Fringe On Direct Labor	\$ 194,637	\$ 193,048	\$ 387,685
Travel	\$ 8,761	\$ 8,427	\$ 17,188
Equipment	\$ 0	\$ 0	\$ 0
Supplies	\$ 581	\$ 582	\$ 1,163
Contracts	\$ 55,670	\$ 55,232	\$ 110,902
Other Direct Costs	\$ 42,911	\$ 42,146	\$ 85,057
Total Direct Charges	\$ 680,385	\$ 674,178	\$ 1,354,563
Application of Agency Indirect Costs	\$ 115,391	\$ 114,339	\$ 229,730
Total	\$ 795,776	\$ 788,517	\$ 1,584,293

State Budget Out-years Summary - Step 3

Maine - CA '16 - '18

Component/Funding Type	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6
AIR FORCE BRAC LEGACY	\$ 174,913	\$ 178,413	\$ 54,937	\$ 56,035	\$ 57,156	\$ 58,299
AIR FORCE IR	\$ 70,168	\$ 70,993	\$ 72,413	\$ 73,860	\$ 75,338	\$ 76,845
DESC IR	\$ 14,970	\$ 2,912	\$ 2,387	\$ 2,395	\$ 2,403	\$ 2,411
FUDS IR	\$ 127,518	\$ 121,802	\$ 124,239	\$ 127,351	\$ 129,258	\$ 131,842
FUDS MAP	\$ 3,051	\$ 3,083	\$ 3,145	\$ 3,207	\$ 3,272	\$ 3,337
NAVY BRAC LEGACY	\$ 214,717	\$ 217,730	\$ 222,083	\$ 226,525	\$ 231,056	\$ 235,676
NAVY IR	\$ 190,439	\$ 193,584	\$ 197,454	\$ 201,404	\$ 205,431	\$ 209,540
Total	\$ 795,776	\$ 788,517	\$ 676,658	\$ 690,777	\$ 703,914	\$ 717,950

State Pending Attachment A Maine - CA '16 - '18

Installation
Component/Funding Type: AIR FORCE BRAC LEGACY
DIRECT PROGRAM SUPPORT JEP (AIR FORCE: ME)
LORING AFB, LIMESTONE (013 06A 1584 15)
Component/Funding Type: AIR FORCE IR
BANGOR ANGB (101 ARW)
DIRECT PROGRAM SUPPORT JEP (AIR FORCE: ME)
SOUTH PORTLAND ANGB (265 CCS)
Component/Funding Type: DESC IR
DEFENSE FUEL SUPPORT HARPSWELL (013 06A 1589 15)
DIRECT PROGRAM SUPPORT JEP (DESC: ME)
Component/Funding Type: FUDS IR
AF GAT, GLENBURN TOWN OFFICE (D01ME0566) (013 06A 1588 15)
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CASWELL AFS Z-80 (D01ME0111)
DIRECT PROGRAM SUPPORT JEP (FUDS: ME)
DOW MIL AF (D01ME0004) (013 06A 1588 15)
FUDS FORUM
LOR AFB LAU AX (D01ME0132) (013 06A 1588 15)
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NIKE 58 (D01ME0077) (013 06A 1588 15)
NIKE LO-13 (D01ME0028) (013 06A 1588 15)
Component/Funding Type: FUDS MAP
STATEWIDE MANAGEMENT ACTION PLAN - MAPS
Component/Funding Type: NAVY BRAC LEGACY
BRUNSWICK NAS, INCLUDING TOPSHAM ANNEX (013 06A 1583 15)
DIRECT PROGRAM SUPPORT JEP (NAVY: ME)
Component/Funding Type: NAVY IR
DIRECT PROGRAM SUPPORT JEP (NAVY: ME)
NAVAL COMMUNICATIONS UNIT CUTLER (013 06A 1586 15)
PORTSMOUTH NAVAL SHIPYARD KITTERY (013 06A 1587 15)

CERTIFICATIONS REGARDING LOBBYING; DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS; AND DRUG-FREE WORKPLACE REQUIREMENTS FOR COOPERATIVE AGREEMENTS

Applicants should refer to the regulations cited below to determine the certification which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature of this form provides for compliance with certification requirements under: 32 CFR Part 28, "New Restrictions on Lobbying"; 2 CFR Part 180, "OMB Guidelines on to Agencies on Government-wide Debarment and Suspension (Non-Procurement)", as implemented by the Department of Defense in 2 CFR part 1125; and 32 CFR Part 26, "Government-wide Requirements for Drug Free Workplace (Financial Assistance)". The certifications will be treated as a material representation of fact upon which reliance will be placed when determining award of the cooperative agreement.

1. LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

2. DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS

(1) The applicant certifies, to the best of its knowledge and belief, that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;

(b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

(3) The applicant shall communicate the requirements to comply with Subpart C of the OMB guidance in 2 CFR Part 180, as implemented by the Department of Defense in 2 CFR part 1125, to persons at the next lower tier with whom the recipient enters into transactions that are "covered transactions" under Subpart B of 2 CFR part 180 and the DoD implementation in 2 CFR part 1125.

3. DRUG-FREE WORKPLACE (RECIPIENTS OTHER THAN INDIVIDUALS)

As required by the Subpart B of 32 CFR Part 26, which implements Sections 5151-5160 of the Drug Free Workplace Act of 1988 (Pub. L. 100-690, Title V, Subtitle D, 41 U.S.C. 701, *et seq.*)--

(1) The applicant certifies that it will or will continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the recipient's workplace and specifying the actions that will be taken against the employees for violation of such prohibition;

(b) Establishing an ongoing drug-free awareness program to inform employees about:

(i) The dangers of drug abuse in the workplace;

(ii) The applicant's policy of maintaining a drug-free workplace;

(iii) Any available drug counseling, rehabilitation, and employee assistance programs; and

(iv) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the cooperative agreement be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the cooperative agreement, the employee will:

(i) Abide by the terms of the statement; and

(ii) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace not later than five calendar days after such conviction;

(e) Notifying the agency, in writing, within ten calendar days after receiving notice under subparagraph (d)(ii) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose cooperative agreement activity the convicted employee was working, unless the Federal agency has designated a central point for receipt of such notices. Notice shall include the identification number(s) of the each affected cooperative agreement;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(ii), with respect to any employee who is so convicted:

(i) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended, or

(ii) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

(2) The applicant may insert in the space provided below the site(s) for the performance of work done in connection with the specific cooperative agreement.

Place of performance:

(Street address, city, county, state, zip code)

☐ Check if there are workplaces on file that are not identified here.

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above certifications.

Name of Applicant: State of Maine, Dept. Environmental Protection

Printed Name and Title of Authorized Representative

Name: Paul Mercer

Title: Commissioner

Signature: 

Date: 4.14.16

DEPARTMENT OF DEFENSE/STATE MEMORANDUM OF
AGREEMENT
COOPERATIVE AGREEMENT

CERTIFICATE OF AUTHORITY

I, Peter B. LaFond, do hereby certify that I am an Assistant Attorney General, State of Maine Office of the Attorney General, and that Paul Mercer, Commissioner of the Maine Department of Environmental Protection, who executed this Cooperative Agreement on behalf of the State of Maine, is authorized to bind the State of Maine to all terms and conditions of said Agreement.

IN WITNESS WHEREOF, I have made and executed this certification this 25th day of March, 2016.



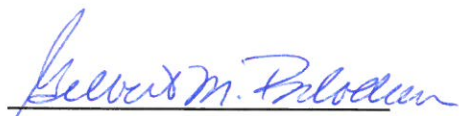
(Signed)

Peter B. LaFond
Assistant Attorney General
Office of the Attorney General

DEPARTMENT OF DEFENSE/STATE MEMORANDUM OF AGREEMENT

FINANCIAL REIMBURSEMENT AUTHORITY

I, Gilbert M. Bilodeau, as a principal financial officer of the State/Territory of Maine do hereby certify that I have authority to sign the SF-270 Request for Reimbursement to request reimbursement on behalf of the State/Territory for actual costs incurred under the terms and conditions of the DSMOA Cooperative Agreement. Additionally, I have the authority to designate others to do so on my behalf. Accordingly, I designate that the following person(s) have authority to sign the SF-270 Request for Reimbursement to request reimbursement on behalf of the State/Territory for actual costs incurred under the terms and conditions of the DSMOA Cooperative Agreement.


(Signature)

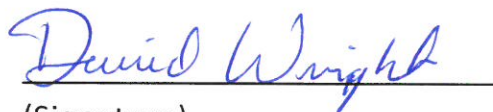
Director, Natural Resources Service Center
(Title)

April 13, 2016
(Date)

Designated to Sign for Gilbert M. Bilodeau:

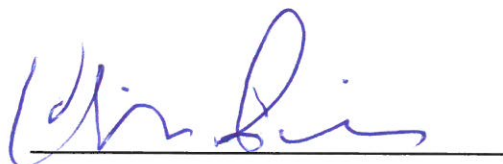
David Wright

Director, Division of Remediation, DEP
(Printed Name, Title)


(Signature)

Chris Swain

Section Chief, Federal Facilities, DEP
(Printed Name, Title)


(Signature)



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460
COGNIZANT AGENCY
NEGOTIATION AGREEMENT

Page 1 of 2

State of Maine
Department of Environmental
Protection
Augusta, ME

Date: December 4, 2015

Filing Ref: November 14, 2014

The indirect cost rates contained herein are for use on grants and contracts with the Federal Government to which Office of Management and Budget 2 CFR 200 applies, subject to the limitations contained in the Circular and in Section II, A below.

SECTION I: RATES

<u>Type</u>	<u>Effective Period</u>		<u>Rate</u>	<u>Base</u>
	<u>From</u>	<u>To</u>		
Fixed	7/1/2016	6/30/2017	16.96%	(a)

Basis for Application

(a) Total direct costs less grants, flow through funds, land, building, and equipment.

Treatment of Fringe Benefits: Fringe benefits applicable to direct salaries and wages are treated as direct costs.

SECTION II: GENERAL

A. LIMITATIONS: The rates in this Agreement are subject to any statutory and administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions: (1) Only costs incurred by the department/agency or allocated to the department/agency by an approved cost allocation plan were included in the indirect cost pool as finally accepted; such costs are legal obligations of the department/agency and are allowable under governing cost principles; (2) The same costs that have been treated as indirect costs have not been claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the department/agency which was used to establish the rates is not later found to be materially incomplete or inaccurate by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government.

B. CHANGES. The fixed rate contained in this agreement is based on the organizational structure and the accounting system in effect at the time the proposal was submitted. Changes in the organizational structure or changes in the method of accounting for costs which affect the amount of reimbursement resulting from use of the rate in this agreement, require the prior approval of the authorized representative of the responsible negotiation agency. Failure to obtain such approval may result in subsequent audit disallowances.

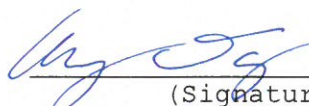
C. THE FIXED RATE contained in this agreement is based on an estimate of the cost which will be incurred during the period for which the rate applies. When the actual costs for such a period have been determined, an adjustment will be made in the negotiation following such determination to compensate for the difference between the cost used to establish the fixed rate and that which would have been used were the actual costs known at the time.

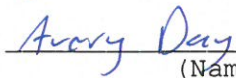
D. NOTIFICATION TO FEDERAL AGENCIES: Copies of this document may be provided to other Federal agencies as a means of notifying them of the agreement contained herein.

E. SPECIAL REMARKS: This rate does not apply to large Hazardous Waste Superfund contracts and to Section 319(h) non-point source grants to sub-recipients. Please confirm your acceptance of the terms of the indirect cost rate agreement by signing and returning this letter to me. Please retain a copy for your records.

SECTION III: ACCEPTANCE

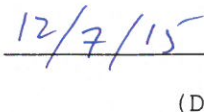
The undersigned official warrants
that he/she has the proper authority
to execute this agreement on the behalf
of the Maine Department of Environmental
Protection:


(Signature)


(Name)


(Title)


(Agency)


(Date)

By the Federal Agency:

JACQUELINE
E SMITH

Digitally signed by JACQUELINE SMITH
DN: c=US, o=U.S. Government,
ou=USEPA, ou=Staff, cn=JACQUELINE
SMITH, dnQualifier=0000018292
Date: 2015.12.04 17:17:29 -05'00'

(Signature)

Rate Negotiator
Financial Analysis and
Oversight Service Center
U.S. Environmental
Protection Agency

Negotiated by: Jacqueline Smith

Telephone: (202) 564-5055



STATE OF MAINE
DEPARTMENT OF ENVIRONMENTAL PROTECTION



PAUL R. LEPAGE
GOVERNOR

PAUL MERCER
COMMISSIONER

MEMORANDUM

To: Maine DSMOA Application File

From: David Wright, Director Division of Remediation

Date: April 11, 2016

RE: Maine State Audit

As part of Maine's DSMOA CA application for 2016-2018, a copy of the financial audit of the State is available at <http://www.maine.gov/audit/documents/2014sareport.pdf>. The document is entitled:

State of Maine
Single Audit Report
OMB Circular A-133
Fiscal Year Ending June 30, 2014
Office of the State Auditor
Pola A. Buckley, CPA, CISA
State Auditor

AUGUSTA
17 STATE HOUSE STATION
AUGUSTA, MAINE 04333-0017
(207) 287-7688 FAX: (207) 287-7826

BANGOR
106 HOGAN ROAD, SUITE 6
BANGOR, MAINE 04401
(207) 941-4570 FAX: (207) 941-4584

PORTLAND
312 CANCO ROAD
PORTLAND, MAINE 04103
(207) 822-6300 FAX: (207) 822-6303

PRESQUE ISLE
1235 CENTRAL DRIVE, SKYWAY PARK
PRESQUE ISLE, MAINE 04769
(207) 764-0477 FAX: (207) 760-3143